## 10/584736

# IN THE UNITED STATES PATENT AND TRABELLAR CORRECTED 26 JUN 2006

Applicants:

Mikio Oda, et al.

**Examiner**:

Unassigned

Serial No.:

To be assigned

**Art Unit**:

Unassigned

Filed:

Herewith

Docket:

19992

For:

OPTICAL INPUT SUBSTRATE,

Dated:

June 26, 2006

OPTICAL OUTPUT SUBSTRATE, OPTICAL INPUT/OUTPUT SUBSTRATE, A

FABRICATION METHOD FOR THESE

SUBSTRATES, AND AN OPTICAL

**ELEMENT INTEGRATED SEMICONDUCTOR** 

INTEGRATED CIRCUIT

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

#### **INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Patent Publication No. 05-067769, published March 19, 1993, together with an English-Language Abstract;

#### **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Dated: June 26, 2006

Paul J. Esatto, Jr.

### JAP20 Rec'd PCT/PTO 26 JUN 2006

- 2. Japanese Patent Publication No. 06-275870, published September 30, 1994, together with an English-Language Abstract;
- 3. Japanese Patent Publication No. 04-061175, published February 27, 1992, together with an English-Language Abstract;
- 4. Japanese Patent Publication No. 10-335383, published December 18, 1998, together with an English-Language Abstract;
- 5. Japanese Patent Publication No. 2001-036197, published February 9, 2001, together with an English-Language Abstract;
- 6. Japanese Patent Publication No. 06-102426, published April 15, 1994, together with an English-Language Abstract; and
- 7. Japanese Patent Publication No. 2003-110121, published April 11, 2003, together with an English-Language Abstract.

Reference nos. 1-4 were cited in a Search Report dated February 8, 2005 received from the Japanese Patent Office. Applicants are submitting a copy of the above-cited references, together with a copy of the Search Report. The relevance of above-identified reference nos. 1-4 has been described in the Search Report. The relevance of above-identified reference no. 5 has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R.§ 1.97(b), no statement or fee is required.

Respectfully submitted,

Paul J. Esatto, Jr.

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PJE:dg

IAP20 Rec'd PCT/PTO 26 JON 2006

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE			Atty. Docket No. (Optional)		Application Number		
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)			19992		To be assigned 10/584736		
FOREIGN			Applicant(s) Mikio Oda, et al. Filing Date Herewith PATENT DOCUMENTS		Group Art Unit Unassigned		
REI	EF DOCUMENT NUMBER		COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					<u> </u>	YES	NO
	05-067769	3/19/93	Japan				
	06-275870	9/30/94	Japan				
	04-061175	2/27/92	Japan				
	10-335383	12/18/98	Japan				
	2001-036197	2/9/01	Japan			<u>-</u>	
	06-102426	4/15/94	Japan		1		
	2003-110121	4/11/03	Japan				
		OTHER	DOCUMENTS (Including	g Author, Title,	Date, Pertinent l	Pages, Etc.)	
EXAMINER			DATE CONSIDERED				
	itial if reference considered, whether			9; draw line thro	ough citation if no	t in conform	ance and